Form North Dakota Office of State Tax Commissioner

AFI Annual Angel Fund Investment Report



2018Calendar Year

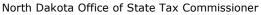
Every angel fund certified under North Dakota Century Code (N.D.C.C.) § 57-38-01.26 must file Form AFI for each calendar year in which it was certified for any part of the year, including the year it ceases operation. All angel funds must complete Part 1. Then complete Part 2 or Part 3, whichever applies.

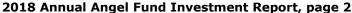
Part 1 - Angel fund information Fill in applicable circle Fund was organized and certified **before July 1, 2017.** Complete Part 1 and Part 2. Do not complete Part 3. \bigcirc Fund was organized and certified **after June 30, 2017.** Complete Part 1 and Part 3. Do not complete Part 2. Name of angel fund Federal employer identification number (FEIN) Mailing address City State 7IP code Signature - I declare that this form is correct and complete to the best of my knowledge and belief. Date signed Signature of person authorized to act on behalf of fund Printed name of person signing form E-mail address Telephone number (required) Part 2 - Angel fund organized and certified before July 1, 2017 1. List all enterprises in the angel fund's portfolio as of the end of December 31, 2018, and provide the address where its principal place of business is located. 2. For each enterprise listed, enter the total investments made in the enterprise during the period beginning January 1, 2011, and ending December 31, 2018. Check this box if there are no enterprises in the angel fund's portfolio as of December 31, 2018. Name of enterprise / Total amount invested in enterprise Address of principal place of business During the period beginning January 1, 2011, and ending December 31, 2018 If additional lines are needed, attach additional form(s) Name Address Name Address Name Address Name Address Name Address Privacy Act Notification In compliance with the Privacy Act of 1974, disclosure of a Federal Employer Identification Number

Disclosure Notification The information in Part 2 or Part 3 of this form, whichever applies, may be disclosed to the chairman of North Dakota's Legislative Management or the chairman of a standing committee of the North Dakota Legislature.

of this form.

(FEIN) on this form is required under N.D.C.C. §§ 57-01-15 and 57-38-01.26, and will be used for tax reporting, identification, and administration of North Dakota tax laws. Disclosure is mandatory. Failure to provide the FEIN may delay or prevent the processing







Part 3 - Angel fund organized and certified after June 30, 2017

- 1. Provide the name of each qualified business in which the angel fund made a qualified investment during the period beginning July 1, 2017, and ending December 31, 2018, and the address of its principal place of business.
- 2. For each qualified business listed, enter in the applicable column the total qualified investments made in the business during the period beginning July 1, 2017, and ending December 31, 2018.

Address of principal place of business / It additional lines are needed, attach additional form(s) Name Address Name Addr	Check this box if the angel fund did not make a qualified investment in a qualified business during the period beginning July 1, 2017, and ending December 31, 2018.		Total amount invested During the period beginning July 1, 2017, and ending December 31, 2018	
Address Name Address	Address of principal place of	business		
Name Address Name Address Address Address Name Address	Name			
Address Name Address	Address			
Name Address	Name			
Address Name Address Address Name Address Name Address Name Address Address Name Address Name Address Name Address Name Address	Address			
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Name Address Address Address	Name			
Address Name Address	Address			
Name Address	Name			
Address	Address			
	Name			
Total investments in qualified businesses *	Address			
	Total investments in qualified businesses * .			

* Important - Minimum in-state investment. N.D.C.C. § 57-38-01.26(4) provides that, for the period beginning July 1, 2017, and ending December 31, 2019, and for every two-calendar year period thereafter, at least 50 percent of the total qualified investments made in all qualified businesses (both in-state and out-of-state) during the period must be made in in-state qualified businesses to maintain eligibility for the credits allowed for the investments made during the period.

Mail report to: Attn Supervisor

Individual Income Tax Section Office of State Tax Commissioner 600 East Boulevard Ave., Dept. 127 Bismarck, ND 58505-0599 **Due date of this report:** This report must be filed by January 31, 2019.

Non-filing penalty: An angel fund is subject to a \$10,000 penalty for failure to file this report if, after receiving a thirty-day notice to file, the failure continues.